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FORM PTO-1449	SERIAL NO. 10/689,895	CASE NO. 6270/128
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE October 21, 2003	GROUP ART UNIT 2125
(use several sheets if necessary)		APPLICANT(S): Ransom et al.

## REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
<i>am</i>	D1	5,181,026	01/1993	Granville		
<i>am</i>	D2	5,956,220	09/1999	Novosel et al.	361/62	
<i>am</i>	D3	6,285,917 B1	09/2001	Sekiguchi et al.	700/239	
<i>am</i>	D4	6,694,270 B2	02/2004	Hart	702/57	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO

EXAMINER INITIAL	OTHER ART - NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.</small>

EXAMINER <i>Paul Davis</i>	DATE CONSIDERED <i>9/29/04</i>
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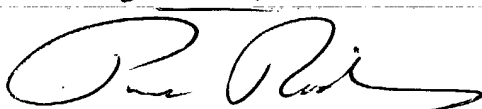
listed in the form PTO-1449 be reviewed and made of record. Copies of the references are not enclosed.

The references now cited are the following:

U.S. PATENT DOCUMENTS		
DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME
4,589,075	05/13/86	Buennagel
4,641,248	02/03/87	Suzuki et al.
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5,680,324	10/21/97	Schweitzer, III et al.
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6,178,362 B1	01/23/01	Woolard et al.
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6,313,752 B1	11/06/01	Corrigan et al.
6,535,797 B1	03/18/03	Bowles et al.
6,549,880 B1	04/15/03	Willoughby et al.

In accordance with 37 C.F.R. §1.97(g), (h), this Second Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

No fees are believed to be due in connection with filing of this Second Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

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APPLICANT(S): Ransom et al.		

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
<i>PM</i>	B1	6,005,759	12/21/99	Hart et al.	361/66	03/16/98
<i>PM</i>	B2	6,553,418 B1	04/22/03	Collins et al.	709/224	01/02/99
<i>PM</i>	B3	2001/0039537 A1	08/08/01	Carpenter et al.	705/400	03/02/01
<i>PM</i>	B4	2003/0176952 A1	09/18/03	Collins et al.	700/286	03/13/03

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
<i>PM</i>	B5	David Mueller and Sandy Smith, Electrotek Concepts, "Using Web-based Power Quality Monitoring for Problem Solving and Improving Customer Relations", proceedings of the 4 <sup>th</sup> Annual Latin American Power 99 Conference, Jun. 29, 1999, pp. 263-271.

EXAMINER <i>[Signature]</i>	DATE CONSIDERED <i>9/29/04</i>
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# REFERENCE DESIGNATION

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
<i>PM</i>	A1	5,448,229	09/05/95	Lee, Jr.	340/870.02	12/28/92
	A2	5,459,459	10/17/95	Lee, Jr.	340/870.02	11/25/94
	A3	5,477,216	12/19/95	Lee, Jr. et al.	340/870.02	10/30/92
	A4	5,495,239	02/27/96	Ouellette	340/870.02	08/02/94
	A5	5,572,438	11/05/96	Ehlers et al.	<del>700/295</del> <del>364/492</del>	01/05/95
	A6	5,699,276	12/16/97	Roos	<del>379/106.03</del> <del>364/514</del>	12/15/95
	A7	5,764,155	06/09/98	Kertesz et al.	<del>700/295</del> <del>340/825.08</del>	04/03/94
	A8	5,862,391	01/19/99	Salas et al.	<del>713/300</del> <del>395/750.01</del>	04/03/96
	A9	5,897,607	04/27/99	Jenney et al.	702/62	02/28/97
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	A12	6,118,269	09/12/00	Davis	324/110	04/15/97
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# FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
<i>PM</i>	A21	Questa Applications Summary Data Sheet, pp. 1-2, 07/02/02.
	A22	Tridium™ Vykon™ Energy "JACE-401™" Product Data Sheet, pp. 1-2, 09/16/02.
	A23	Tridium™ Vykon™ Energy "Vykon Energy Profiler" Product Data Sheet, pp. 1-4, 10/16/01.
	A24	Schneider Electric Square D "Web-Enabled Power Management Solutions" brochure, pp. 1-8, January 2002
	A25	Tridium™ Vykon™ Building "Vykon™ Alarm Service" Product Data Sheet, pp. 1-2, 06/20/02.
	A26	Tridium™ Vykon™ Building "JACE-512™" Product Data Sheet, pp. 1-2, 05/15/02.
<i>PM</i>	A27	Tridium™ Vykon™ Building "JACE-511™" Product Data Sheet, pp. 1-2, 05/28/02.

EXAMINER <i>Phu Phan</i>	DATE CONSIDERED 9/29/04
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EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
<i>pm</i>	A28	Vykon™ By Tridium "JACE-NP-1®" Product Data Sheet, pp. 1-2, 05/28/02.
	A29	Vykon™ By Tridium "WorkPlace Pro™" Product Data Sheet, pp. 1-2, 01/12/01.
	A30	Vykon™ Building "Web Supervisor™" Product Data Sheet, pp. 1-2, 11/30/01.
	A31	A8800-1 AcquiSuite Data Acquisition System specification, pp. 1-2, 09/24/01.
	A32	LiveData® Real-Time Data Management For Energy and Utility Companies brochure, pp. 1-4, ©copyright 2002, Rev. 01/2002.
	A33	Tridium™ Vykon™ Energy "With the Right Tools Energy Costs are Controllable..." brochure, pp. 1-8, 11/01/01.
	A34	EnergyView™ "Energy Aggregation & Information System (EAS) for Monitoring and Analysis of Electric and Gas Demand", selected pages from an EnergyView website <a href="http://66.64.38.69/energyview">http://66.64.38.69/energyview</a> , pp. 1-4, 10/30/01.
	A35	Power Monitoring home page, obtained from <a href="http://www.parijat.com/Power_Monitoring.htm">http://www.parijat.com/Power_Monitoring.htm</a> , 10/09/02, one page,
	A36	Press Release 24 Aug. 2000 "Connect One Announces Industry's First Wireless Chip to Connect Mobile Devices to the Internet", pp. 1-2.
	A37	Press Release, "Connect One and NAMS Create the World's First Dial-up Energy Meter that Sends and Receives E-Mail Without a Gateway", September 5, 2000, pp. 1-2.
	A38	NAMS Metals by Nisko, NMM-AKB Specifications page 1 of 1, September 5, 2000.
	A39	Connect One "Automatic Meter Reading via the Internet", pp. 1-2. July 11, 2001
	A40	iModem™ "The Fastest Way to Internet-Enable any Device", pp. 1-3. July 11, 2001
	A41	ConnectOne™ Connecting your Device to the Internet™ iChip™ The Internet in your palm™, pp. 1-6. July 11, 2001.
	A42	Engage Networks Launches Energy Management Venture, obtained at internet address <a href="http://www.engagenet.com/content/business_journal.shtml">http://www.engagenet.com/content/business_journal.shtml</a> , 09/20/00. Appeared in Business Journal Feb 11, 2000.
	A43	Engage Networks Inc. "D-Gen" Distributed Generation Management brochure, pp. 1-4. Link present as of Dec 2, 1998 according to web archive at <a href="http://web.archive.org/web/19981205200934/www.engagenet.com/products.htm">http://web.archive.org/web/19981205200934/www.engagenet.com/products.htm</a>
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<i>pm</i>	A46	David W. Giles, "Direct-Access Metering Via the Web", 1998 CEA Conference, Toronto, Canada, pp. 1-19, © 1998 Power Measurement Ltd. Conference April 27-29/1998. Presented April 29/1998
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EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A47	GE Industrial Systems "EnerVista.com" brochure, 09/2000.
<i>PM</i>	A48	Press release, Santa Clara, CA 09/25/2000 "Connect One Reduces Time, Cost and Complexity to Connect Internet Appliances to Ethernet Lans", obtained at internet address <a href="http://www.connectone.com/html/prsep25_2000.htm">http://www.connectone.com/html/prsep25_2000.htm</a> .
	A49	Year 2000 Rediness Disclosure Arcom Control Systems, "Apex", obtained at internet address <a href="http://www.arcomcontrols.com/products/pcp/pcp10.htm">http://www.arcomcontrols.com/products/pcp/pcp10.htm</a> . Document archived at <a href="http://web.archive.org/web/20000530063150/www.arcomcontrols.com/products/pcp/pcp10.htm">http://web.archive.org/web/20000530063150/www.arcomcontrols.com/products/pcp/pcp10.htm</a> on May 30, 2000.
	A50	Dranetz BMI, Signature System™ "Information, Knowledge, Power" brochure. Applicants believe this reference was published prior to January 9, 2003.
	A51	Signature System™ Basics, obtained at internet address <a href="http://www.signaturesystem.com/sigbasics.html">http://www.signaturesystem.com/sigbasics.html</a> , 3/26/01. pp. 1-2.
	A52	Signature System™ InfoNodes, obtained at internet address <a href="http://www.signaturesystem.com/infonode.html">http://www.signaturesystem.com/infonode.html</a> , 3/26/01. pp. 1-2.
	A53	Questa A2B.Platform™ brochure, "Enabling conversation through universal connectivity", pp. 1-4, located at <a href="http://www.questra.com/h1_products/Questa_A2Bplatform">http://www.questra.com/h1_products/Questa_A2Bplatform</a> . Applicants believe this reference was published prior to January 9, 2003.
	A54	Questa A2B.Sales™ brochure, "Conversation to help you win", pp. 1-2, located at <a href="http://www.questra.com/h1_products/Questa_A2Bsales">http://www.questra.com/h1_products/Questa_A2Bsales</a> . Applicants believe this reference was published prior to January 9, 2003.
	A55	Power Point Presentation: Presented at "Metering Europe 2000," September 5-7,2000, source <a href="http://www.energyict.com/fh/media/Presentation_Metering2000Munchen5.zip">http://www.energyict.com/fh/media/Presentation_Metering2000Munchen5.zip</a> , pp. 1-37.
	A56	Brochure, RTU+Server, source <a href="http://www.energyict.com/fh/media/tru_plus_server.pdf">http://www.energyict.com/fh/media/tru_plus_server.pdf</a> , pp. 1-3, 4/6/01. Document archived at <a href="http://web.archive.org/web/20020702060538/http://www.energyict.com/fh/media/tru_plus_server.pdf">http://web.archive.org/web/20020702060538/http://www.energyict.com/fh/media/tru_plus_server.pdf</a> Feb 7/2001. Link present on <a href="http://web.archive.org/web/20010207201520/www.energyict.com/fa/en_ab_frame.htm">http://web.archive.org/web/20010207201520/www.energyict.com/fa/en_ab_frame.htm</a> on or before Feb 7/2001.
	A57	Brochure, EiServer, The Energy Information server, source <a href="http://www.energyict.com/fh/media/EiServer.pdf">http://www.energyict.com/fh/media/EiServer.pdf</a> , pp. 1-7, 7/14/00. Link present on or before Feb 7, 2001.
	A58	Advertisement, EiServer and RTU+Server, source, Metering International - 2001 Issue 1, page 19, publish date 1 <sup>st</sup> Quarter 2001, p. 1.
	A59	Article, "Providing Tomorrow's Energy Management and Metering Tools Today," source, Metering International - 2001 Issue 1, page 18, publish date 1 <sup>st</sup> Quarter 2001, p. 1.
	A60	"Email relating to the general technology of the application received from a competitor", from Erich W. Gunther [ <a href="mailto:erich@electrotek.com">mailto:erich@electrotek.com</a> ] to Brad Forth [ <a href="mailto:pml.com">pml.com</a> ] sent 5/9/01 3:36pm, pp. 1-2.
<i>PM</i>	A61	Electro Industries Press Release "Nexus 1250 High-Performance Power Monitor Gains Ethernet TCP/IP Capabilities," obtained at internet address <a href="http://www.electroindustries.com/feb5.html">http://www.electroindustries.com/feb5.html</a> , Feb. 5, 2001 pp. 1-2.

EXAMINER <i>Paul Rook</i>	DATE CONSIDERED <i>9/29/04</i>
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EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
<i>PM</i>	A62	Electro Industries "Nexus 1250 for Industry and Utilities," brochure, obtained at internet address <a href="http://www.electroindustries.com/pdf/nxsbrochure.pdf">http://www.electroindustries.com/pdf/nxsbrochure.pdf</a> pp. 1-14 printed 06/01/01.
	A63	NetSilicon Solutions on Chip "NET+ARM™ Ethernet Processors" brochure, obtained at internet address <a href="http://www.netsilicon.com/EmbWeb/products/netarm.asp">http://www.netsilicon.com/EmbWeb/products/netarm.asp</a> , pp. 1-2, Copyright© 2001 NetSilicon, Inc. Document archived <a href="http://web.archive.org/web/20010719171514/www.netsilicon.com/embweb/products/netarm.asp">http://web.archive.org/web/20010719171514/www.netsilicon.com/embweb/products/netarm.asp</a> on July 19/2001 on July 19, 2001
	A64	EmWare® "Solutions Device Link," brochure, obtained at internet address <a href="http://www.emware.com/solutions/devicelink/">http://www.emware.com/solutions/devicelink/</a> , pp. 1-3, printed 06/01/01. Document archived <a href="http://web.archive.org/web/20010524222001/www.emware.com/solutions/devicelink/">http://web.archive.org/web/20010524222001/www.emware.com/solutions/devicelink/</a> May 24, 2001.
	A65	iReady® "Technology iReady's Hardwired TCP/IP Stack," homepage, obtained at internet address <a href="http://www.iready.com/">http://www.iready.com/</a> , p. 1, ©2000-2001 iReady corporation, printed 06/01/01. First publishes as early as March 1, 2001. <a href="http://web.archive.org/web/20010515202409/http://www.iready.com/">http://web.archive.org/web/20010515202409/http://www.iready.com/</a> link on right side to "technology/index.html" captured March 15, 2001.
	A66	muNet News & Events Press Releases "muNet makes cable industry debut with its WebGate™ Internet Residential and Commercial Information Systems, and HomeHeartBeat", <a href="http://www.munet.com">http://www.munet.com</a> , pp. 1-2, 12/15/99.
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	A70	WebGate™ IRIS™ "Internet Residential Information System," p. 1 of 1 Published in Energy IT November/December 2000 Technology Info Center. See <a href="http://www.platts.com/infotech/issues/0011/eittic0011.shtml">http://www.platts.com/infotech/issues/0011/eittic0011.shtml</a> for this reference - search for munet.com.
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	A72	F. Momal, C. Pinto-Pereira, "Using World-Wide-Web for Control Systems" Abstract, AT Division CERN, 1211 Geneva 23, 6 pages. Published 1995.
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<i>PM</i>	A75	J. Hofman, "The Consumer Electronic Bus: An Integrated Multi-Media LAN for the Home", International Journal of Digital and Analog Communication Systems, Vol. 4, 77-86 (1991), ©1991 by John Wiley & Sons, Ltd.

EXAMINER <i>Paul R...</i>	DATE CONSIDERED <i>9/20/01</i>
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EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
<i>mm</i>	A76	Lucent Technologies "AT&T Forms Expert Team to Design Utility Industry Solutions, press release, Monday, January 23, 1995, pp. 1&2.
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	A78	Distributed.Net, <a href="http://n0cqi.distributed.net/faq/cache/178.html">http://n0cqi.distributed.net/faq/cache/178.html</a> , printed June/10/2003. Link archived at <a href="http://web.archive.org/web/20000901052607/n0cqi.distributed.net/faq/cache/178.html">http://web.archive.org/web/20000901052607/n0cqi.distributed.net/faq/cache/178.html</a> on Sept 01, /2000.
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	A82	Axeda DRM System Overview, 2 pages, obtained <a href="http://www.axeda.com/solutions/overview.html">http://www.axeda.com/solutions/overview.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A83	Axeda Access, 3 pages, obtained <a href="http://www.axeda.com/solutions/portals/portal_access.html">http://www.axeda.com/solutions/portals/portal_access.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A84	Axeda DRM Technology Overview, 1 page, obtained <a href="http://www.axeda.com/solutions/tech_challenges/firewallfriendly.html">http://www.axeda.com/solutions/tech_challenges/firewallfriendly.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
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EXAMINER <i>Paul R...</i>	DATE CONSIDERED <i>9/29/04</i>
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.